

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21273

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Not Yet Assigned

097926600

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP00/03399

26 May 2000 (26.05.00)

27-May 1999 (27.05.99)

TITLE OF INVENTION: Cr-BASED ALLOY HAVING AN EXCELLENT STRENGTH-DUCTILITY BALANCE AT HIGH TEMPERATURE

APPLICANT(S) FOR DO/EO/US: Kenji ABIKO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"Executed"
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: Japan Science and Technology Corporation, of Saitama, Japan
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Figure of Drawing to be published: 1
18. ☒ Other items or information:
  - International Application as published (in Japanese).
  - PCT/ISA/210 (in English and Japanese).
  - Cover Letter Submitting Amended Pages of Application.
  - Article 34 Amendment (6 pages).
  - PCT/IPEA/409 International Preliminary Examination Report (in English and Japanese).
  - PCT/IB/301.
  - PCT/IB/304.
  - PCT/IB/308.
  - PCT/IB/332.
  - PCT/IB/338.
  - PCT/RO/101 (in Japanese).
  - Claim of Priority.

JP00/03399 Rec'd PCT/PTO 26 NOV 2001

APPLICATION NO. (If known, see 37 CFR 1.492(a)(1)-(5)):

INTERNATIONAL APPLICATION NO.

PCT/JP00/03399

Yet Assigned 09/926600

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19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. . . . . \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). . . . . \$ 740.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . . . . \$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

Claims	Number Filed	Number Extra	RATE		
Initial Claims	6 - 20 =	0	X \$18.00	\$ 0.00	
Dependent Claims	2 - 3 =	0	X \$84.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 0.00	

TOTAL OF ABOVE CALCULATIONS =

\$890.00

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$ 0.00

SUBTOTAL =

\$890.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$ 0.00

Extension of time fee in the amount of \$

\$ 0.00

TOTAL NATIONAL FEE =

\$890.00

for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$ 40.00

TOTAL FEES ENCLOSED =

\$930.00

Amount to be refunded

\$

Charged

\$

☒ A check in the amount of \$ 930.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No.        in the amount of \$        to cover the above fees.

☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

E: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and filed to restore the application to pending status.

ALL CORRESPONDENCE TO CUSTOMER NO. 7055  
THE PRESENT ADDRESS OF:

H. Bernstein  
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716-1191

*Leslie J. Naparner Reg'd*

SIGNATURE

Bruce H. Bernstein, Reg. No. 29,027

NAME

29,027

REGISTRATION NUMBER



07055

PATENT TRADEMARK OFFICE